PTO/SB/17 (12-04v2

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the P. 1995, no persons are required to respond to a collection of information upless it displays a valid OMR control number Ca visit 12/08/2004. Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818) **Application Number** 10/645,078 FEE TRANSMIT Filing Date AUGUST 21, 2003 For FY 2005 First Named Inventor RICHARD H. SELINFREUND **Examiner Name** CHRISTIAN A. LaFORGIA Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2131 TOTAL AMOUNT OF PAYMENT 180.00 Attorney Docket No. VTI-7013.1(CIP) METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 11-0404 Deposit Account Name: Kelley Drye & Warren LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity Small Entity** Small Entity Fees Paid (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 100 130 50 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 600 250 300 Provisional 200 100 0 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee Description Fee (\$) 50 Each claim over 20 (including Reissues) 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims Extra Claims** Fee Paid (\$) Multiple Dependent Claims - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Extra Claims Fee (\$) Fee Paid (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof **Total Sheets** Extra Sheets Fee (\$) Fee Paid (\$) (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Information Disclosure Statement \$180.00

SUBMITTED BY		
Signature	Mans Role Matter Registration No. 37,352	Telephone 203-351-8011
Name (Print/Type	HANS-PETER G. HOFFMANN	Date OCTOBER / , 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No.: VTI-7013.1(CIP)

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Rate: October W, 2005

Name: Hans-Peter G. Hoffmann

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Richard H. Selinfreund, et al.

Application S/N 10/645,078 Examiner: Christian A. LaForgia

Filing Date August 21, 2003 Group Art Unit: 2131

Title STORAGE MEDIA ACCESS CONTROL METHOD

AND SYSTEM

### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b). The references listed on Form PTO/SB/08A and PTO/SB/08B were previously cited by or submitted to the office in prior U.S. Patent Application Serial No. 09/631,585, filed August 3, 2000, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications). In accordance with the requirements, and since the references have been disclosed in the priority application, Applicants are not enclosing copies of the references herewith.

( ) In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required. The

Commissioner is authorized to charge any fees required to Deposit Account No. 11-0404.

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# Attorney Docket No.: VTI-7013.1(CIP)

- (X) In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:
  - ( ) Certification in accordance with §1.97(e) is set forth below.
  - (X) The Fee of \$180.00 as set forth in \$1.17(p) is attached.
- ( ) In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.31 1 but before the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of \$\_\_\_\_\_ as set forth in §1.17(p).

Please charge any necessary fees to Deposit Account No. 11-0404.

There are no listed references which are not in the English language or do not contain an English language abstract.

Date: October / , 2005

Respectfully submitted,

Hans-Peter G. Hoffmann, Ph.D.

Reg. No. 37,352

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### **Enclosures**

PTO/SB/08A (07-05)

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Complete if Known Substitute to PARTO Application Number 10/645,078 AUGUST 21, 2003 Filing Date INFORMATION DISCLOSURE First Named Inventor RICHARD H. SELINFREUND STATEMENT BY APPLICANT Art Unit **Examiner Name** (Use as many sheets as necessary) Christian A. LaForgia Attorney Docket Number VTI-7013.1(CIP) Sheet of

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-4,450,231	05-22-1984	Ozkan	
		US-4,663,518	05-05-1997	Borror, et al.	
		US-5,039,490	08-13-1991	Marsoner et al.	
		US-5,182,669	01-26-1993	Chikuma et al.	
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		US-5,546,471	08-13-1996	Merjanian	
-		US-5,958,541 A	09-28-1999	Steven Miller et al.	
		US-5,966,205	10-12-1999	Jung et al.	
		US-6,009,071	12-28-1999	Ahn et al.	
		US-6,031,815	02-29-2000	Heemskerk	
		US-6,099,930	08-08-2000	Cyr et al.	
		US-			
1) <sup>10</sup>		US-			
		US-			

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>				
		WO 98/08180	02-26-1998	T.T.R. Technologies Ltd.	-					
		WO 00/19430	04-06-2000	Iomega Corporation						
		WO 98/29238	07-09-1998	Eastman Chemical Company						
		WO 99/23649	05-14-1999	OMD Devices, LLC						
						<u> </u>				

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the twoletter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substi	tute for form 1449/PTO			Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/645,078		
				Filing Date	August 21, 2003		
	(Use as many sheets as necessary)			First Named Inventor	RICHARD H. SELINFREUND		
				Art Unit	2131		
				Examiner Name	Christine A. LaForgia		
Sheet	2	of 1	2	Attorney Docket Number	VTI-7013.1(CIP)		

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			
		BRUNO, A., et al., "All-Solid-State Miniaturized Fluorescence Sensor Array for the Determination of Critical Gases and Electrolytes in Blood," Analytical Chemistry, 69: 507-513, February 1, 1997				
		Amendment under Article 34 of the Patent Cooperation Treaty mailed January 28, 2002.				
		International Search Report for PCT/US01/10256 mailed August 2, 2002.				
		International Preliminary Examination Report for PCT/US01/10256 mailed February 13, 2003.				
1						

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# FORM PTO-1449(Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: VTI-7013.1(CIP)

SERIAL NO.: 10/645,078

APPLICANT: Richard H. Selinfreund, et al.

FILING DATE: August 21, 2003

**GROUP**: 2131

### **U.S. PATENT DOCUMENTS**

Exam Init	Ref Des	Document No.	Date	Name-	Class	Sub Class	FILING DATE If Appropriate
	1	Des. 248,044	05/30/78	Odom, Jr. et al.		+	т фризранско
		Des. 414,272	09/21/99	O'Bear et al.		+	
		1,822,098	09/08/31	Huntress		<del> </del>	
		2,265,196	12/41	Riley			
	1	2,521,124	09/05/50	Miller		<del> </del>	
		3,356,462	12/05/67	Cooke et al.	<del></del>	-	
<del></del>		3,412,245	11/19/68	Halverson		+	
-	1	3,444,517	05/13/69	Rabinow		†	<del> </del>
<del></del>		3,473,027	10/14/69	Freeman et al.	<del></del>	<del>- </del> -	
		3,500,047	03/10/70	Berry	<del> </del>	<del> </del> -	
	1	3,533,744	10/13/70	Unger		<del></del>	<u> </u>
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	1	3,649,464	03/14/72	Freeman		<del>                                     </del>	
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	1	3,886,083	05/27/75	Laxer		<del>                                     </del>	
	1	3,928,226	12/23/75	McDonough et al.		<del> </del>	
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		4,260,392	04/07/81	Lee		<del>                                     </del>	
		4,329,317	05/11/82	Detweiler et al.		<del>                                     </del>	
		4,365,970	12/28/82	Lawrence et al.			<del>-</del>
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		4,387,112	06/07/83	Blach	<del></del>		

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<del></del>	4,439,356	03/27/84	Khanna et al.	ļ	<u> </u>	
	4,451,521	05/29/84	Kaule et al.	ļ		<u> </u>
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Attorney Docket No.: VTI-7013.1(CIP) U.S. Serial No. 10/645,078

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	10112	T 22/2 2 22	T-			
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INFORMATION DISCLOSURE					APPLICATION NO.: 10/645,078	ATTY. DOCKET NO.:VTI-7013.1(CIP)
					FILING DATE: August 21, 2003	
STATEMENT BY APPLICANT				NT	APPLICANT: Selinfreund, et al.	
Sheet	10	of	12		GROUP ART UNIT: 2131	EXAMINER: Christine A. LaForgia

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